

1           **Multi-scaled morphological features for the characterization of**  
2           **mammographic masses using statistical classification schemes**

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## 25 **Summary**

26

27 Objective: A comprehensive signal analysis approach on the mammographic mass boundary morphology is  
28 presented in this article. The purpose of this study is to identify efficient sets of simple yet effective shape features,  
29 employed in the original and multi-scaled spectral representations of the boundary, for the characterization of the  
30 mammographic mass. These new methods of mass boundary representation and processing in more than one domain  
31 greatly improve the information content of the base data that is used for pattern classification purposes, introducing  
32 comprehensive spectral and multi-scale wavelet versions of the original boundary signals. The evaluation is  
33 conducted against morphological and diagnostic characterization of the mass, using statistical methods, fractal  
34 dimension analysis and a wide range of classifier architectures.

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36 Methods and material: This study consists of: (a) the investigation of the original radial distance measurements under  
37 the complete spectrum of signal analysis, (b) the application of curve feature extractors of morphological  
38 characteristics and the evaluation of the discriminative power of each one of them, by means of statistical  
39 significance analysis and dataset fractal dimension, and (c) the application of a wide range of classifier architectures  
40 on these morphological datasets, in order to conduct a comparative evaluation of the efficiency and effectiveness of  
41 all architectures, for mammographic mass characterization. Radial distance signal was exploited using the discrete  
42 Fourier transform (DFT) and the discrete wavelet transform (DWT) as additional carrier signals. Seven uniresolution  
43 feature functions were applied over these carrier signals and multiple shape descriptors were created. Classification  
44 was conducted against mass shape type and clinical diagnosis, using a wide range of linear and non-linear classifiers,  
45 including linear discriminant analysis (LDA), least-squares minimum distance (LSMD),  $k$  nearest neighbor ( $k$ -NN),  
46 radial basis function (RBF) and multi-layered perceptron (MLP) neural networks (NN), and support vector machines  
47 (SVM). Fractal analysis was employed as a dataset analysis tool in the feature selection phase. The discriminative  
48 power of the features produced by this composite analysis is subsequently analyzed by means of multivariate  
49 analysis of variance (MANOVA) and tested against two distinct classification targets, namely (a) the morphological  
50 shape type of the mass and (b) the histologically verified clinical diagnosis for each mammogram.

51

52 Results: Statistical analysis and classification results have shown that the discrimination value of the features  
53 extracted from the DWT components and especially the DFT spectrum, are of great importance. Furthermore, much  
54 of the information content of the curve features in the case of DFT and DWT datasets is directly related to the texture  
55 and fine-scale details of the corresponding envelope signal of the spectral components. Neural classifiers  
56 outperformed all other methods (SVM not used because they are mainly two-class classifiers) with overall success  
57 rate of 72,3% for shape type identification, while SVM achieved the overall highest 91,54% for clinical diagnosis.  
58 Receiver operating characteristic (ROC) analysis has been employed to present the sensitivity and specificity of the  
59 results of this study.

60

## 61 **Keywords**

62 Mammography, morphological analysis, multi-scaled analysis, fractal dimension, medical diagnostics.

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## 65 **1. Introduction**

66 In localized breast cancers, the morphology and shape characteristics of the detected masses have been established as  
67 factors of great importance in the discrimination between fibroadenomas, cysts and carcinomas, due to inherent  
68 anatomical differences directly related to malignancy [1-3]. Approximately 80% to 85% of diagnostic information is  
69 retrieved from the mammographic appearance of the mass [4-7]. However, the cognitive task of tissue  
70 characterization and classification of a mass as probable benign or probable malignant is extremely complex and  
71 includes advanced inference mechanisms [1, 2]. Clinical studies have shown that malignant masses usually infiltrate  
72 the surrounding tissue as they expand and, as a result, the mass' appearance exhibits vague and fuzzy boundaries,  
73 with linear strands extending irregularly outwards [8, 9]. In the benign pathological cases, masses appear as mass  
74 shapes of well-defined boundaries and non-stellate structures [4]. Myeloid breast carcinomas, papillary colloid  
75 carcinomas, as well as phylloid cystosarcomas, do not follow the rule and form special groups with exceptional  
76 morphology [10, 11].

77 Based on these morphological and anatomical differences, indicated by the mammographic appearance of  
78 the mass, four distinct shape types have been established as the most fundamental clinical cases [12]. These are

79 round, lobulated, micro-lobulated and stellate (Figure 1). Round shape morphologies relate primarily to benign  
80 pathology, while stellate shape morphologies relate to malignancy [4, 13, 14].

81 Although previous studies have been focused on descriptive measurements for the characterization of each  
82 shape type [14-16], little work has been done on the area of spectral and multi-resolution analysis of the shape signal.  
83 The introduction of wavelet transformations [17-21], as well as the traditional approach of Fourier analysis [22, 23],  
84 offer a promising new area for the study of shape analysis and the extraction of valuable discriminative information.  
85 Furthermore, in the area of the shape classification itself with respect to benignancy or malignancy of the  
86 corresponding mass, only a limited group of models has been applied in the specific problem, consisting mainly of  
87 minimum distance classifiers, utilizing standard Euclidean distance measures [24]. Little evaluation for the same  
88 classification task has been conducted for more recent non-linear classifiers, such as support vector machine (SVM)  
89 [24]. Furthermore, there are only a few studies available addressing the general issue of investigating the inherent  
90 complexity and dimensionality of the extracted morphological datasets used by these classifiers [25].

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92 (\*\*\*) *PLACEHOLDER FOR: **FIGURE-1*** (\*\*\*)

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94 This study is focused on three main areas of interest:

- 95 (1) The investigation of the original radial distance measurements under the complete spectrum of signal analysis.  
96 Morphological shape analysis has been conducted, not only to the radial distance signal itself, but also to the  
97 envelope of the discrete Fourier transform (DFT) [22] spectrum and the discrete wavelet transform (DWT) [18, 19]  
98 decomposed sub-band signals for multiple wavelet function selections.
- 99 (2) The application of seven uniresolution quantitative measurements of morphological characteristics to all the  
100 extracted signals and the evaluation of their respective discriminative power, by means of multivariate analysis of  
101 variance (MANOVA) [26, 27]. Additionally, a of fractal feature space dimension analysis is employed in order to  
102 establish a preliminary estimation of the complexity and intrinsic dimensionality of the training datasets [25], as a  
103 tool for feature selection.
- 104 (3) The application of a wide range of classifier architectures, namely linear discriminant analysis (LDA), least-  
105 squares minimum distance (LSMD), k nearest neighbor (k-NN), radial basis function (RBF) and multi-layered

106 perceptron (MLP) neural networks (NN), and SVM classifiers, on the same morphological datasets, in order to  
107 conduct a comparative evaluation of the efficiency and effectiveness of all architectures, for the specific problem of  
108 mammographic mass characterization.

109 The discriminative power of the features produced by this composite analysis is subsequently tested against two  
110 distinct classification targets, namely (a) the morphological shape type of the mass and (b) the histologically verified  
111 clinical diagnosis for each mammogram. Both targets are examined using the same morphological datasets and  
112 classifier architectures. For the purposes of this study, an original mammogram database was studied in the context  
113 of verified clinical results. The database contained detailed qualitative information for each mammogram, including  
114 a thorough and compact list of clinical findings provided by human experts [12]. Results [4] have verified the  
115 statistical significance of the quantitative morphological properties of mammographic masses, in particular the  
116 benignancy or malignancy indicated by the shape type of the mass. In the current study a set of morphological  
117 feature extractors was applied on the mammogram database in order to construct complete datasets of morphological  
118 “signatures” for benign and malignant cases of masses. MANOVA was subsequently used for the construction of  
119 additional subsets of statistically independent morphological features. Both the original and the reduced datasets  
120 were analyzed using statistical analysis and fractal dimension techniques [25], in order to establish a lower bound for  
121 the inherent dimensionality of the input space and how it is affected when using feature selection methods like  
122 MANOVA.

123 The material of this study is organized in sections as follows. Section 2 contains the details regarding the  
124 base dataset and the methodologies used throughout the study. Section 3 presents the results of the morphological  
125 features evaluation, the dataset fractal analysis and the classification tests. Next, section 4 discusses the choices and  
126 performance considerations over the various morphological dataset types and classifier architectures. Finally, section  
127 5 summarizes the results and consequences of various aspects of using morphological features, the fractal-based  
128 analysis of the datasets and the various classifier architectures, for establishing a robust and complete framework to  
129 analyze the shape and morphology of mammographic masses.

130

## 131 **2. Materials**

132 Two distinct resource materials were employed in this study: (a) a prototype mammographic image database,  
133 annotated by human experts, and (b) the corresponding dataset of qualitative features, also provided by an expert  
134 physician.

135 The requirement for patient's clinical history and positive histological verification of the benignancy or  
136 malignancy of each case was assessed as one of extreme importance for the quality and validity of the subsequent  
137 results. Thus, a special-purpose image set was assembled, using cases of mammographic masses with complete  
138 radiological evaluation and histological diagnosis, already used in other studies in the past successfully [28]. The  
139 initial set contained 1350 cases of women that proceeded for the evaluation of a clinical breast problem, each  
140 containing a full set of 4 mammograms, i.e., cranio-caudal (CC) and medio-lateral oblique (MLO) projections per  
141 breast, and it was used as a base for the final selection of mass cases with positive clinical verification by surgical  
142 biopsy and histological examination. For the construction of the raw material for this study, a set of 130 MLO  
143 mammograms containing a mass were selected by an expert physician for digitization, including a total of 46 benign  
144 mass cases and 84 mass malignancies of various types. The selection was made on the basis of unbiased statistical  
145 distribution of the underlying textural features and the completeness of the dataset with respect to various clinical  
146 findings, always focused in pathological cases that included presence of at least one suspicious mass. In the set of  
147 130 mammograms, almost two-thirds of the cases are malignant, because many different types of malignancies had  
148 to be represented in the database with an adequate statistical sample. For each mammogram, a complete list of  
149 qualitative information was provided by the attendant physician [12], containing details about the age of the patient,  
150 number of present masses, presence of microcalcifications, density of the mass, percentage of fat inside the mass,  
151 mass boundary vagueness, mass homogeneity, mass shape type and clinical diagnosis. All these qualitative clinical  
152 descriptions, as well as their logging range of values, are shown in Table 1. The various qualitative details were  
153 included according to the expert's recommendations and proposals, as explicit information related to various types of  
154 malignant mammogram abnormalities, including architectural distortion, microcalcification clusters, micro-lobulated  
155 or stellate masses [29-31].

156 The film mammograms were converted into a digital format using a digital scanner (Epson Perfection  
157 1640SU with film adapter) at the default optical density (OD) of 3.1, while the scanning specifications for its  
158 grayscale range and optical resolution were 14 bits and 1600 dpi respectively. The digitized mammograms were

159 subsequently transformed digitally to a resolution of 63  $\mu\text{m}$  (400 dpi) at 8-bit gray level, which is consistent with  
160 other typical image databases of digitized mammograms that are used as a reference in similar studies [32]. The final  
161 set of 130 mammograms was used in all cases, with no further reduction in spatial resolution or gray level depth.

162 Advanced algorithms for automated mammographic lesion detection have been proposed, however their  
163 level of sensitivity and specificity is still under investigation [33-36]. Furthermore, their fine-scale accordance to the  
164 corresponding expert's detailed description of mass boundaries, especially in cases of non-trivial stellate distortions,  
165 exhibits many practical problems [34]. In order to obtain mass boundaries of high quality and detail, a manual  
166 segmentation was applied. Specifically, each mass was manually described by the radiologists at the maximum  
167 available spatial resolution, using a high-resolution digitizer device and stored as an embedded boundary descriptor  
168 via alpha channel data. These mass descriptions were subsequently used as a fine-scale tissue inclusion/exclusion  
169 mask for all subsequent extraction and analysis of textural features.

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171 (\*\*\*) *PLACEHOLDER FOR: TABLE-1* (\*\*\*)

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173

### 174 **3. Methods**

175 Four categories of methods and algorithms were employed in this study: (a) multi-scaled signal processing and  
176 spectral decomposition, (b) a set of 1-D morphological feature functions, (c) dataset fractal dimension evaluation,  
177 and (d) linear,  $k$ -NN, NN (MLP and RBF) and SVM classifier architectures.

178

#### 179 **3.1 Multi-scaled spectral decomposition**

180 Seven traditional uniresolution morphological features were used to extract quantitative information from a one-  
181 dimensional signal. These one-dimensional features have been widely used in the past, as invariant and unbiased  
182 descriptors for the characterization of the properties and morphology of mammographic masses [4, 13, 14]. The one-  
183 dimensional signal normally consists of the radial distance function, sampled over a well-defined mass borderline  
184 that is defined by a closed curve of arbitrary shape. Additionally, the envelope of the DFT spectrum and the DWT  
185 components of the previously described one-dimensional signal, produced from each mass, were also used as carrier  
186 signals.

187

188 3.1.1 Radial Distance Signal

189 In general, each radial distance function is computed in two steps: (1) the centroid of the mass is computed, using  
 190 cumulative distributions of the projections in both  $\underline{x}$  and  $\underline{y}$  axes and (2) the acquired centroid of the mass is identified  
 191 as the center of a polar coordinate system and the mass borderline is sampled over fixed intervals to extract the radial  
 192 distance sequence, starting from angle 0:

193

$$194 \quad d(i) = \sqrt{(x(i) - X_0)^2 + (y(i) - Y_0)^2}, \quad i = 1, 2, \dots, N \quad (1)$$

195

196 where the point  $(\underline{X}_0, \underline{Y}_0)$  is the acquired centroid of the mass and  $\underline{N}$  is the number borderline samples taken.

197 As the mass boundary can have arbitrary (e.g., non-convex) shape and displacement in relation to the mass centroid,  
 198 many abnormal cases, especially those regarding stellate masses, were considered too complex to be analyzed by a  
 199 direct centroid-to-boundary measurement. In other words, the parameterized form of radial distance versus radial  
 200 angle theta ( $\theta$ ) does not define a valid function  $\underline{r}(\theta)$ , due to the possibility of multiple  $\underline{r}(\theta)$  values for a fixed  $\theta$  value,  
 201 in cases of arbitrary mass shapes.

202 In this study, the boundary of each mass was acquired using a prototype edge-following method.  
 203 Specifically, the starting point on the mass boundary was acquired at an arbitrary angle and was then registered in  
 204 full resolution, using a line-following scheme, before calculating radial distances for all the boundary pixels that  
 205 were detected (Figure 2). This means that, instead of taking radial distance samples from the mass center towards the  
 206 boundary over fixed angular intervals, the boundary itself is traced at pixel-level and all radial distances are  
 207 calculated backwards, i.e., from the boundary pixels towards the mass center. Essentially, the new parameterized  
 208 form of radial distance  $\underline{r}(\underline{t})$  includes a spatial transition ( $\underline{t}$ ) on the boundary, indicating the transition between two  
 209 adjacent boundary pixels. Thus, the total number  $\underline{N}$  of borderline samples is exactly the length (in pixels) of the mass  
 210 perimeter in full resolution. The same concept, in its generalized form, is best manifested by the various Fourier  
 211 Descriptor functionals [22, 24]. This type of radial distance functionals  $\underline{r}(\underline{t})$  are directly related to the (variable) total  
 212 length of the mass' boundary outline, instead of the (fixed) range of angular intervals as in the case of  $\underline{r}(\theta)$ . Thus, the



213 initial resolution and fine-scale details, as well as the intrinsic morphological properties of the boundary, are fully  
 214 preserved.

215

216 (\*\*\*) *PLACEHOLDER FOR: FIGURE-2* (\*\*\*)

217

218 In order to avoid typical problems with edge-following techniques, especially in cases of sharp edges, a pre-  
 219 processing stage was applied before acquiring the borderline curve. Specifically, a dilation filter [22] of pre-  
 220 determined mask size was used to remove localized noise effects on the borderline, usually attributed to operator  
 221 instability during the manual segmentation of the mass boundaries. A minimum dilation mask of 3x3 has been  
 222 experimentally proved adequate for all the digitized mammograms of the dataset. No significant alternation due to  
 223 dilation was observed in the morphological characteristics of any of the identified masses, while the variation in the  
 224 length of the borderline was less than  $\pm 1\%$  at maximum and  $\pm 0,1\%$  on average. Thus, it was determined that the  
 225 dilation pre-processing stage introduced no immediate side-effect on the quality and the informational content of the  
 226 borderline curve in all cases. Comparative results revealed considerable increase in quality and resolution of the  
 227 extracted radial measurements in all cases and thus this technique was applied throughout this study.

228 All radial distance signals were normalized using the minimum and maximum values of radial distances in  
 229 the dataset, in order to avoid excessive differences in magnitude and total energy between masses of different sizes,  
 230 using the typical formula:

231

$$232 \hat{d}(i) = \frac{d(i) - d_{\min}}{d_{\max} - d_{\min}}, i = 1, 2, \dots, N \quad (2)$$

233

234

### 235 3.1.2 Fourier Analysis – Discrete Spectrum

236 Preliminary results and spectral analysis proved the significance of properties of the frequency spectrum as important  
 237 discriminating factors between the various morphological shape types of the masses and the final diagnostic

238 conclusion. As the normalized radial distance signal represents the shape of each mass' perimeter, abnormalities in  
239 the initial radial distance signal should be evident as explicit properties of the discrete spectrum of the signal.

240 The uniresolution features were applied as descriptive curve features for the DFT spectral envelope in each  
241 case. The qualitative significance of the uniresolution features is similar to the case of the radial distance signal, as  
242 they can positively describe attributes of the underlying one-dimensional signal, which in this case is the spectrum of  
243 the signal, instead of the original signal itself.

244

### 245 3.1.3 Wavelet Decomposition

246 L.M.Bruce and R.R.Adhami [4] have shown the significance and importance of multi-resolution features that are  
247 based on wavelet transform using modulus-maxima method. Specifically, they have shown that DWT could be used  
248 in one or more scales iteratively to transform the original signal into one or more decomposed forms that could be  
249 used for detailed sub-band analysis.

250 In this study, instead of creating a modulus-maxima representation of the signal produced by the wavelet  
251 decomposition, each detail and approximation component was treated as a separate signal by itself. In relation to the  
252 original radial distance signal, each decomposition level should capture different aspects of the qualitative and  
253 quantitative properties of the mass boundary and thus produce detailed discriminative information. Specifically, at  
254 small scales (i.e., the first decomposition levels) the DWT reveals information content related to localized sharp  
255 variations of the signal, while at large scales (i.e., deeper decomposition levels) it reveals global signal variations [4].  
256 The uniresolution features were, again, used as curve descriptors for each wavelet component separately, producing a  
257 different set of features for each one of them.

258 In order to estimate the effect of wavelet function selection over the discriminative power of the curve  
259 features calculated in each case, several wavelet functions were applied in the wavelet decomposition process.  
260 Specifically, five wavelet functions [37] were examined: (i) Haar, (ii) sym2, (iii) coiflet-1, (iv) biorthogonal-1.5 and  
261 (v) discrete Meyer. These selections were based on the property that on each scale the DWT should reveal  
262 information related to sharp edges and peaks on the original radial distance signal, as they would represent  
263 abnormalities on the perimeter and the boundaries of the mass itself [4, 38]. Furthermore, experimental studies have  
264 shown that for shape features based on the zero-crossing property, a particular class of wavelet functions, based on

265 the derivatives of a smoothing filter function, provides the means to identify the signal's sharp variation points and  
266 different scales [39]. Therefore, only Gaussian-like wavelet kernels were considered as appropriate candidates.  
267 Features extracted separately at each decomposition level could also reveal the quality and value of the  
268 discriminative information contained on that level. The first four DWT decomposition levels have been used for  
269 extracting multi-scaled wavelet component of the radial distance signal, after evaluating cases of applying wavelet  
270 decomposition methods [38] in mass perimeter signal and according to the minimum and maximum signal lengths  
271 available in the current database.

272

### 273 **3.2 Curve feature extraction**

274 The creation of robust and yet simple representation of the four shape type categories of mammographic masses, a  
275 small set of uniresolution 1-D curve functions were used. The selection of these particular shape descriptor functions  
276 was based on four main factors: (a) simplicity in implementation and physical meaning, (b) invariance in terms of  
277 translation, scale and rotation, (c) well-suited for application in all base signal types, namely in spatial, DFT and  
278 DWT domains, and (d) similar physical meaning in all transformed signal domains.

279 Numerous shape features have been proposed in the past for various applications [21, 40]. In general, they  
280 can be grouped in two major categories [21]: contour-based, i.e., exploiting only the boundary shape information of  
281 an object, and region-based, i.e., all the pixels within a shape region are used to obtain the shape representation. In  
282 both cases, shape representation can be global, describing a property of the complete shape, or structural, where the  
283 shape is broken down into local boundary segments called primitives [21]. For contour-based shape features, the  
284 normalized centroid distance or normalized radial length measurement has been proposed as one of the most content-  
285 rich shape representations for closed-contour boundaries of objects [21], especially when spectral shape features are  
286 to be used [23]. Based on this spatial representation of a shape profile, various feature extractors have been proposed  
287 for exploiting gradient transitions, statistical variances and moments [40-43]. Based upon the way they are  
288 implemented in practice, various versions of these spatial features can be defined, yet many of them are overlapping  
289 in terms of the information content they capture [40]. However, most spatial shape descriptors, especially the  
290 gradient-based, suffer from noise sensitivity due to their inherent heavy dependency to boundary variations.

291 Furthermore, some statistical properties, such as higher-order moments, have no specific physical meaning regarding  
292 the original boundary shape information [21].

293 To overcome the problem of noise sensitivity, transformation to spectral domain has been proposed for the  
294 shape descriptor signal. Specifically, Fourier descriptors (FD) [22, 44] and wavelet descriptors (WD) [22, 45] have  
295 been used to capture shape properties that emerge from the spectral and multi-scale decomposition of the original  
296 boundary information of an object. Although these techniques are extremely powerful in describing arbitrary shape  
297 types and identifying invariant shape properties, their application is restricted by some limitations. While FD features  
298 are well-suited for describing spectral properties of the object's boundary, the size of the convolution kernel that  
299 defines the locality of the transformation is a free parameter, essentially defining short-time Fourier descriptors  
300 (SFD) and conventional or global FD, each suited for different type of applications [46]. The problem of scaling is  
301 resolved very efficiently in the case of WD, where the boundary shape signal is decomposed at several scales.  
302 However, the much larger volume of data (compared to the FD) and, in many cases, the dependency of the  
303 robustness of the WD features to the exact choices of wavelet functions, often makes the used of WD shape features  
304 very problem-specific. Furthermore, most shape-matching algorithms, such as the modulus-maxima representation  
305 [38] and the zero-crossing points scheme [45], exploit only the dominant attributes and features of the DWT  
306 decomposed signal, instead of the complete signal components in all scales. As a result, the design of most WD  
307 features and shape matching algorithms, proposed until now, require complex similarity measurements that are  
308 usually shift-variant and dependant on the complexity of the shape [21].

309 In this study, a small well-studied set of seven 1-D uniresolution curve features have been selected as the  
310 most prominent for application in all three signal domains, namely (a) the radial distance signal, i.e., spatial domain,  
311 (b) the envelope of its DFT, i.e., the Fourier domain, and (c) the envelope of its DWT components, i.e., the wavelet  
312 domain. These are [4, 14]: (i) radial distance mean, (ii) radial distance standard deviation, (iii) mass circularity, (iv)  
313 entropy of the radial distance histogram, (v) area ratio parameter, (vi) zero-crossing count and (vii) mass boundary  
314 roughness.

315 The radial distance mean  $m$  was calculated by the following formula:

316

317 
$$m = \frac{1}{N} \sum_{i=1}^N d(i) \quad (3)$$

318

319 The radial distance standard deviation s was computed by:

320

321 
$$s = \sqrt{\frac{1}{N} \sum_{i=1}^N (d(i) - m)^2} \quad (4)$$

322

323 Mass circularity C was defined as:

324

325 
$$C = \frac{P^2}{A} \quad (5)$$

326

327 where A is the area and P is the perimeter of the specified mass. The area was calculated as the integral of the  
 328 normalized radial distance signal at full resolution against the sampling angle, while the perimeter was extracted by  
 329 summing the differences of all samples of the same signal sequentially along the complete angle range.

330 The entropy E of the radial distance formula:

331

332 
$$E = \sum_{k=1}^N P_k \log(P_k) \quad (6)$$

333

334 was applied on the normalized histogram of radial distances with N=100 bins. P<sub>k</sub> is the probability that the radial  
 335 distance measure will be between: 1 and:  $1 + \frac{1}{N}$  times the current value. The number of times the radial distance  
 336 passed through each bin was summed and the sums were divided by the total number of radial distance samples  
 337 available. The number of bins was determined experimentally and has been confirmed as of adequate histogram  
 338 resolution.

339 The area ratio parameter A<sub>R</sub> was estimated by the formula:

340

341 
$$A_R = \frac{1}{m \cdot N} \sum_{i=1}^N (d(i) - m) \quad (7)$$

342

343 where

344

345 
$$A_R = 0, \forall d(i) \leq m \quad (8)$$

346

347 The zero-crossing count ZC was calculated as a count of the number of times the radial distance signal  
 348 crossed the average radial distance value.

349 Finally, the mass boundary roughness R was calculated by dividing the radial distance signals into small  
 350 segments of equal length and then estimating a roughness index for each one of them according to the formula:

351

352 
$$R(j) = \sum_{i=j}^{L+j} |d(i) - d(i+1)|, j = 1, \dots, \left\lceil \frac{N}{L} \right\rceil \quad (9)$$

353

354 
$$R = \frac{\sum_{j=1}^k R(j) + \frac{L_{k+1}}{L} \cdot R(k+1)}{k + \frac{L_{k+1}}{L}}, k = \left\lceil \frac{N}{L} \right\rceil - 1 \quad (10)$$

355

356 where  $\underline{R}(j)$  is the roughness index for the  $j$ -th segment,  $\underline{L}$  is the number of boundary points in each segment,  $\underline{k}$   
 357 denotes the last segment of length  $\underline{L}$  and  $\underline{N}$  is the total number of boundary points available. The rather complicated  
 358 form of the averaging formula for calculating  $\underline{R}$  is due to the fact that the length  $\underline{L}_{k+1}$  of the last segment is less than  
 359 or equal to  $\underline{L}$ , thus it has to be weighted accordingly. In the current study,  $\underline{N}$  varies according to the size of the mass  
 360 perimeter, but a fixed value of  $\underline{L}=16$  was experimentally determined as adequate for the specific spatial resolution of  
 361  $63 \mu\text{m}$ , i.e., the roughness index was calculated over segments of about 1mm.

362 The same seven uniresolution features were employed as curve descriptors in all three domain spaces  
 363 (spatial, DFT, DWT). In terms of shape feature categorization, mass circularity ( $\underline{A}_R$ ) is a region-based global feature,

364 while the roughness index ( $R$ ) is a contour-based structural feature. The remaining five features are dependant solely  
365 on the boundary shape signal and thus can be considered as pure contour-based global features. Since they are  
366 calculated in the normalized centroid-centered space and no directional boundary indexes are used, all seven features  
367 are translation-, scale-, and rotation-invariant. Although these uniresolution features have been successfully applied  
368 in similar cases using radial distance measurements as the base signal [14], or in modulus-maxima representation of  
369 wavelet domains [4], they have never been used comparatively in all three spatial, DFT and DWT domains, using the  
370 full versions of the transformed signals and testing their efficiency and robustness in all three domains  
371 simultaneously for mammographic mass characterization.

372 For the physical meaning of these features in the spatial, DFT spectral and DWT multi-scaled decomposed  
373 domains, see [21, 22]. In short, the radial distance measurement can be considered as a measure of energy  
374 distribution: in spatial domain this is the signal energy as a function of time/space, in DFT as a function of frequency  
375 and in DWT as a function of the multi-scale wavelet components. Thus, the statistical properties of the shape signal  
376 essentially capture more or less the same attributes of the original boundary shape, but in different representation  
377 domains. Furthermore, the choice of invariant and noise-resilient shape features that can be applied in the same way  
378 in all three domains, i.e., upon the spatial signal curve and the envelope of the DFT/DWT spectral components,  
379 essentially validates their use as efficient and robust energy-related descriptors of the original boundary shape. Thus,  
380 the initial dimension of the training dataset is 217 per sampled mass, containing 7x1 features from the spatial  
381 domain, 7x5 features from various versions (initial, abs, log) of the DFT spectrum envelope, and 7x5 features from  
382 4-level DWT decomposition (4 detail + 1 approx) using 5 different wavelet functions.

383

### 384 **3.3 Fractal feature analysis**

385 In order to establish a preliminary estimation of the complexity and intrinsic dimensionality of the training datasets,  
386 fractal feature analysis was applied to the initial training datasets. Fractal feature analysis, specifically the calculation  
387 of intrinsic fractal dimension of the input datasets, provides the quantitative means of investigating the non-linearity  
388 and the correlation between the available features by means of dimensionality of the resulting feature space [47, 48].  
389 Fractal dimension has also been used as an alternative way of characterizing the discriminative power of each feature  
390 separately, thus providing a non-statistical way of ranking them in terms of importance for the classification task

391 [25]. The fractal analysis of datasets has been used successfully in previous studies [28] and it has been proved very  
 392 valuable as a tool for comparing arbitrary datasets of extracted features with the qualitative clinical properties that an  
 393 experience physician uses to characterize a mammographic image.

394 In short, the two most commonly used methods of calculating the fractal dimension of a dataset are the pair-  
 395 count (PC) and the box-counting (BC) algorithms [17, 48, 49]. In the pair-count algorithm, all Euclidean distances  
 396 between the samples of the dataset are calculated and a closure measure is then used to cluster the resulting distances  
 397 space into groups, according to various ranges ( $r$ ), i.e., the maximum allowable distance within samples of the same  
 398 group. The PC value is calculated for various sizes of ( $r$ ) and it has been proved that PC(r) can be approximated by:

399

$$400 \quad PC(r) = K \cdot r^D \quad (11)$$

401

402 where K is a constant and D is called pair-count exponent. The PC(r) plot is then a plot of:  $\log(PC(r))$  versus  $\log(r)$ ,  
 403 i.e., D is the slope of the linear part of the PC(r) plot over a specific range of distances ( $r$ ). The exponent D is called  
 404 correlation fractal dimension of the dataset, or D<sub>2</sub>. The box-counting approach calculates the exponent D in a slightly  
 405 different way in order to accommodate case of large datasets with size in the order of thousands; however it  
 406 essentially calculates an approximation of that same correlation fractal dimension value, i.e., D<sub>2</sub>.

407 In both cases, the D<sub>2</sub> value of the initial dataset characterizes the intrinsic or “true” dimension of the input  
 408 space [48]. In other words, D<sub>2</sub> would be the minimum dimension of the dataset if only “perfect” features were  
 409 allowed, i.e., totally uncorrelated and with the best discriminative power available within the specific set of features.  
 410 In this study, fractal feature analysis was applied to both the initial set of qualitative characteristics, provided by the  
 411 expert physician, as well as the constructed datasets of morphological features. In all cases, the pair-count algorithm  
 412 employing Euclidean distances was used, due to the relatively small number of samples available, as well as the  
 413 better stability and accuracy for D<sub>2</sub> against the box-counting approach [49].

414 In order to calculate the slope at the linear part of the PC(r) plot, a parametric sigmoid function was used for  
 415 fitting between the sample points of the plot. In the parametric sigmoid function:

416



$$417 \quad y = Y_0 + C_y \cdot \left[ \frac{1}{1 + \exp(-C_x \cdot (x - X_0))} \right] \quad (12)$$

418  
 419 the  $(\underline{X}_0, \underline{Y}_0)$  identifies the transposition of the axes, while  $\underline{C}_x$  and  $\underline{C}_y$  identify the appropriate scaling factors.  
 420 Specifically, the value of  $\underline{C}_x$  affects the steepness of the central part of the curve, while  $\underline{C}_y$  specifies the  $\underline{Y}$ -axis width  
 421 of the sigmoid curve. Then, the slope of the linear part around the central curvature point, i.e. the value of  $\underline{D}_2$ , is:

$$422 \quad \frac{\partial^2 y(X_0)}{\partial x^2} = 0 \Rightarrow D_2 = \frac{\partial y(X_0)}{\partial x} = \frac{C_x \cdot C_y}{4} \quad (13)$$

### 426 3.4 Feature selection, classification and testing

427 Classification was conducted for two separate configurations. The first one contained the morphological shape type  
 428 as the classification target, while the second one contained the clinical diagnosis as the classification target. Both  
 429 configurations were combined with all the available input datasets, including uniresolution curve feature values  
 430 extracted from (a) the radial distance signal, (b) the DFT spectrum signal and (c) the DWT multi-scale decomposed  
 431 signals. Features extracted from each case were rated by applying MANOVA significance analysis and reduced  
 432 subsets of sizes up to 20 features, contained in the MANOVA top-20 features list, were employed in all classification  
 433 setups. Several classifiers were used to evaluate each one of the available feature combinations for optimized subsets  
 434 selection. Combined datasets, using features extracted from the original radial distance signal, the DFT spectrum and  
 435 the DWT decomposed signals, were also examined and classified using multivariate analysis, producing sorted  
 436 feature lists and optimal feature set selections.

437 Several classifier architectures were applied during the classification phase. A LDA model was used in the  
 438 form of linear classifier [50]. A LSMD classifier [24] was employed, using Mahalanobis distance measure and least-  
 439 squares dataset pre-processing on the input [24]. These two models were also used for feature set optimization by  
 440 applying exhaustive search throughout all the available feature combinations. A  $\underline{k}$ -NN model was also used,  
 441 including estimation of an optimal value  $\underline{k}$  for the size of the neighborhood set and sub-optimal feature set [24].

442 Two different types of NN architectures were employed: a RBF NN with Gaussian activation function and  
443 linear output function [51], and a MLP NN with hyperbolic tangent internal activation and softmax output function  
444 [52], both implemented with topology optimally adapted to each configuration and dataset. In the case of the MLP,  
445 the output function was optimally selected between linear, softmax and logistic for each case separately. All  
446 topologies used one hidden layer of optimized size.

447 For more advanced investigation of the feature set, typical SVM models were applied in relation to the final  
448 diagnosis. Specifically, the C-support vector classification (C-SVC) model was used in combination with standard  
449 RBF kernel functions, optimizing the penalty factor ( $C$ ) and the Gaussian spread parameter ( $\sigma$ ) during training [53,  
450 54]. SVM classifiers that employ various non-linear kernels, combined with new algorithms for fast training of these  
451 architectures, are considered state-of-the-art in Pattern Recognition today [24, 54, 55] and they can be regarded as a  
452 realistic upper limit in the performance of automated systems in similar applications in practice.

453 For consistent and realistic estimation of each classifier's generalization ability,  $k$ -fold cross validation  
454 techniques are usually employed, specifically leave-one-out and leave- $k$ -out methods [24, 56, 57]. In this study, all  
455 configurations used the leave-one-out method for dataset manipulation during training and testing phases, combined  
456 with optimal feature set selection for the linear classifiers, or the complete selected (optimal) feature sets for the  
457 neural networks. SVM classifiers employed limited feature set optimizations, using iterative runs of enlarging  
458 inclusions of several features, available on the feature ranking lists created by MANOVA significance analysis. One  
459 of the reasons that full feature set optimization (i.e., exhaustive feature combinations) was not applied with NN or  
460 SVM architectures, is that the training phase, combined with the optimization of the size of the hidden layer in the  
461 case of NN, becomes computationally too expensive. Furthermore, it also relies on the fact that trained NN  
462 architectures apply optimal weight values at the input layer, thus they can be examined during a post-training  
463 pruning phase to optimally reduce the dimensionality of the input set if necessary [58]. SVM classifiers have also  
464 proven exceptionally efficient in classification tasks of high dimensionality [24, 53, 54]. In all cases, classifiers were  
465 re-trained for every new dataset that was produced after the extraction of one training sample, according to the leave-  
466 one-out method, and then classified this sample treating it as unknown input. Thus, the quality and generalization of  
467 the classification results depended solely on the quality and unbiased distribution of the training samples in the  
468 complete dataset for each case.

469

470 **4. Results**

471 Preliminary studies on the original mammographic database confirmed the significance of morphological shape  
472 characterization with the clinical diagnosis [12]. Specifically, it has been statistically verified that round and  
473 lobulated cases together exhibit only 12% malignancy, while the combined micro-lobulated and stellate cases exhibit  
474 96% malignancy (Table 2). This result shows that utilizing the shape characterization alone as discrimination  
475 measure between benignancy and malignancy can establish a success rate over 93% [12].

476

477 (*\*\*\* PLACEHOLDER FOR: TABLE-2 \*\*\**)

478

479 All seven uniresolution feature functions were used for all base signals, including the original radial  
480 distance signal, its DFT spectrum envelope and its wavelet decomposition signals up to level four. For the wavelet  
481 decomposition, five wavelet functions were selected and applied. Finally, absolute value and logarithmic versions of  
482 the DFT spectrum signals were used in order to determine the value of pre-processing transformations of the  
483 spectrum data. A total of 217 features were collected for all the available mass descriptions and all training samples  
484 were grouped into separate datasets according to their base signal, along with one dataset containing a combination  
485 of all of them.

486

487 **4.1 Fractal analysis of morphological datasets**

488 Figure 3 illustrates the  $\underline{PC}(r)$  plot and the calculation of  $\underline{D}_2$  for the combined dataset of all 217 morphological  
489 features. Table 2 summarizes the results of  $\underline{D}_2$  value, calculated over the qualitative features set and the constructed  
490 morphological features datasets.

491

492 (*\*\*\* PLACEHOLDER FOR: FIGURE-3 \*\*\**)

493

494 As the Table 3 shows, the combined dataset of all 217 morphological features exhibits roughly the same  $\underline{D}_2$  value  
495 with the qualitative properties identified by the expert physician. In other words, the combined morphological

496 features dataset employs at least the same or better descriptive power as the qualitative dataset does. On the other  
 497 hand, the corresponding  $\underline{D}_2$  value when using only the best 20 morphological features, as identified by MANOVA  
 498 for statistical independence, should not be characterized as conclusive for describing the complete dataset of  
 499 dimension 217, although the  $\underline{D}_2$  value indicates an intrinsic dimension lower than 5. In any case, the difference of 1,4  
 500 between the corresponding  $\underline{D}_2$  values (i.e., for full morphological feature set and for MANOVA top-20 set) can be  
 501 considered as safe and expected, provided that these best 20 morphological features exhibit most of the descriptive  
 502 power of the original set, with only 9,2% of all the available features. As a result, the MANOVA analysis for the  
 503 selection of the 20 highly uncorrelated morphological features was considered safe and consistent for constructing  
 504 training datasets of minimal dimensionality.

505

506 (*\*\*\* PLACEHOLDER FOR: TABLE-3 \*\*\**)

507

## 508 **4.2 Shape Type Classification Mode**

509 Statistical analysis and classification results have shown that the discriminating power of features extracted from the  
 510 DFT spectrum and especially the DWT components is of great importance. Specifically, 24 out of the 34 combined  
 511 best (unique) MANOVA-selected features are extracted from the DWT-decomposed signal, 8 from the DFT  
 512 spectrum and only 2 from the spatial signal of the mass boundary. Although the exact choice of wavelet function is  
 513 of some importance, it is worth noticing that in 22 out of the 24 cases these wavelet features refer to the “detail”  
 514 components rather than the “approximation” components in the DWT domain [17-20]. Furthermore, in the  
 515 corresponding DWT components refer to decomposition at levels 2 or more, i.e., not at the small scales at level 1 that  
 516 usually refer to noise artifacts [20, 59].

517

518

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522

Using all the available classifiers, optimized for each setup and leave-one-out testing, the success rate for  
 classification, based only on features extracted from the original radial distance signal, ranged between 46,9% and  
 57,7%. The same results, for feature sets based on DWT component signals, ranged from 45,4% to 67,7% over all  
 wavelet function selections, while, for feature sets based on DFT spectrum success rates, ranged from 57,7% to  
 72,3%. SVM classifiers are inherently not well-suited for multi-class (i.e., not binary) classification problems.  
 Although there are many ways of combining multiple individual SVM classifiers into one compact architecture for

523 multi-class problems (e.g., optimum decision trees, one-against-all schemes, etc [24]), these methods are not directly  
524 comparable to the rest of the classifier architectures used in this study. Therefore, no SVM classification results were  
525 acquired for the shape type target.

526 Using the complete feature set of dimension 217 and applying multivariate statistical significance for  
527 selecting the MANOVA top-20 features, optimal or sub-optimal feature sets were selected according to the current  
528 classification target. All the resulting feature sets contained morphological features extracted primarily from DFT  
529 spectrum and DWT components, while the classification results were similar with the success rates already achieved  
530 by each one of these feature groups by itself. The best classification configuration contained the selection of features  
531 extracted from the DFT spectrum, combined with an optimized LSMD or a MLP with one hidden layer and softmax  
532 output, both resulting in 72,3% success rate for leave-one-out testing mode (Table 4).

533

534 (\*\*\*) *PLACEHOLDER FOR: TABLE-4* (\*\*\*)

535

536 For DFT spectrum feature sets, absolute value and logarithmic transformations on pre-processing produced  
537 a small positive or negative result in the final success rate according to the selected classifier model, ranging between  
538 -3% and +4% in relation to the success rate achieved by using the non-transformed DFT spectrum. Discriminative  
539 information content was greatly decreased, about -20% to -26%, when DFT spectrum resolution was reduced to  
540 only 128 points, instead of the full-resolution spectrum. Although the overall envelope of the spectrum was still  
541 maintained even at this limited resolution, classification results have shown that the quality and the discriminative  
542 value of the extracted features was greatly degraded in comparison to the full DFT spectrum resolution mode. This  
543 implies that much of the information content of the curve features in the case of DFT spectrum is directly related to  
544 the texture and fine detail of DFT the envelope signal, which is in complete accordance with clinical practice and  
545 intuition. Decrease in the DFT resolution resulted in decrease in the quality and fine-scale details of the resulting  
546 signal, thus producing loss of a significant portion of the discriminative information captured by the curve feature  
547 functions at higher DFT resolutions.

548 For DWT decomposition features, the exact choice of the wavelet function proved to be a factor of some  
549 importance in combination to each classifier choice, as some wavelet functions with specific classifier models

550 produced the best results, while other combinations produced poor overall success rates. However, there is no  
551 statistically significant evidence of specific wavelet functions being robust and consistently efficient for all classifier  
552 choices when shape type classification target is considered.

553 In the case of the shape type classification mode, the SVM classifier was chosen for one-against-all  
554 classification testing [24], in order to investigate the differences in sensitivity and specificity of the overall best  
555 classifier against each of the four shape type categories. Results have shown that differences in performance, when  
556 round, lobulated or stellate masses are considered, are not significant. Exception to this is the case of micro-lobulated  
557 masses, where the classification problem seems to be much harder. The corresponding success rates for round,  
558 lobulated and stellate classes were between 89% and 94%, while for the micro-lobulated class it was only 74%.  
559 Receiver operating characteristic (ROC) analysis was employed as an additional evaluation tool for the overall-best  
560 classifier. Specifically, the ROC curves have been produced using SVM classifier, which is the most suitable  
561 performance-wise for the investigation of the sensitivity and specificity of the selected feature subsets. The SVM was  
562 employed for two-class classification tasks, using each one of the shape types against the other three. Figure 4  
563 illustrates the ROC plot for each one of the four shape type classifications, as well as the corresponding area under  
564 curve (AUC) or  $A_z$  index [40] value.

565

566 (\*\*\*) *PLACEHOLDER FOR: FIGURE-4* (\*\*\*)

567

568

### 569 **4.3 Clinical Diagnosis Classification Mode**

570 As in the case of morphological shape type classification, overall results have shown that the discriminating power of  
571 features extracted from the DFT spectrum and especially the DWT components is of great value. Specifically, 17 out  
572 of the MANOVA top-20 features are extracted from the DWT-decomposed signal of the mass boundary. The exact  
573 choice of wavelet function is of some importance, however it is worth noticing that in 14 out of the 17 cases these  
574 wavelet features refer to the “detail” components rather than the “approximation” components in the DWT domain  
575 [17-20]. Furthermore, in 12 out of these 17 cases these wavelet features refer to decomposition levels 3 and 4, i.e., at  
576 larger DWT decomposition scales that are usually noise-resilient [20, 59].

577 Using all the available classifiers, optimized for each setup and leave-one-out testing, the success rate for  
578 classification, based only on features extracted from the radial distance signal, ranged between 68,4% and 73,8%.  
579 The same configurations for feature sets based on DWT component signals resulted in 73,9% to 85,4% success rates  
580 over all wavelet function selections, roughly the same success rates for feature sets based solely on DFT spectrums.  
581 For the combined dataset of 217 features and conducting multivariate statistical significance feature selection,  
582 optimal feature subsets were selected for the current classification target. All the created feature subsets contained  
583 features extracted primarily from DFT spectrum or DWT components, while the classification results were  
584 marginally increased in relation to the success rates already achieved by each one of these feature groups, i.e., DFT  
585 or DWT, alone. The best classification configuration was the selection of mixed features extracted from DFT  
586 spectrum and DWT components of various wavelet functions. The overall best success rate of 91,54% over the  
587 MANOVA-selected top-20 features was achieved by SVM classifier, closely followed by MLP with one hidden  
588 layer of optimum size and softmax output resulting in 89,2% success rate, both for leave-one-out testing mode (Table  
589 5).

590

591 (**\*\*\* PLACEHOLDER FOR: TABLE-5 \*\*\***)

592

593 Discriminative information quality was, again, greatly degraded, about -10% to -27%, when DFT spectrum  
594 resolution was reduced to only 128 points. As in the case of shape analysis classification, the classification results on  
595 final diagnosis revealed once again the significance of the information loss in texture and fine-scale details of the  
596 DFT envelope signal. Although the overall envelope of the spectrum was maintained at this low resolution, the  
597 discriminative value of the extracted features was greatly reduced in comparison to the full resolution mode.

598 For DFT spectrum feature sets, absolute value and logarithmic transformations on pre-processing produced  
599 a small positive or negative variation in the final success rate of any given classifier model, ranging between -3% and  
600 +2% in relation to the success rate achieved by using the non-transformed DFT spectrum. For DWT decomposition  
601 features, the exact choice of the wavelet function was important in certain cases, increasing the success rate up to  
602 +7,7%, for specific classifier choices.

603 Figure 5 illustrates the ROC plot for the diagnosis classification using the SVM classifier, as well as the  
604 corresponding area under curve (AUC) or  $\underline{A}_z$  index [40] value.

605

606 (\*\*\*) *PLACEHOLDER FOR: FIGURE-5* (\*\*\*)

607

608

## 609 **5. Discussion**

610

### 611 **5.1 Optimal feature functions selection and evaluation**

612 Comparative results over all the available datasets and feature groupings have shown that the effectiveness of each  
613 feature function depends greatly on each specific base signal under investigation, as well as the intended  
614 classification target, i.e., mass shape type or diagnosis. Morphological feature functions, which are valuable for  
615 either the discrimination of shape types or clinical diagnosis, when a specific domain (i.e., spatial, DFT or DWT) is  
616 used, may not be equally efficient for the same classification target, when applied to a different type of base signal.  
617 This is expected and justified due to the different nature and physical context of the information extracted by the  
618 same feature functions, when applied to the different base signals, i.e., the radial distance signal, the DFT spectrum  
619 envelope or the DWT component signals. Thus, there is only some qualitative indication on the preference of one  
620 feature function over another when applied in base signals of different informational content, although some of them  
621 may be of greater importance within the same dataset. The overall ranking of the seven curve feature functions, in  
622 order of preference, is illustrated in Table 6 for the shape type and diagnosis classification targets.

623

624 (\*\*\*) *PLACEHOLDER FOR: TABLE-6* (\*\*\*)

625

626 Among the total of 217 features collected from all the carrier signals, i.e., radial distance measurements,  
627 DFT spectrum envelope and DWT components, MANOVA analysis was applied to select the ones with maximum  
628 independence and outmost statistical significance. For these optimal feature selections, both for the shape type and  
629 the clinical diagnosis target cases, specific curve feature functions were favored at some degree, like zero-cross count



630 (for shape type only), roughness index (for diagnosis only), mean value and area ratio (for both classification  
631 targets). On the contrary, some feature functions like circularity seems to be of little or no use in terms of  
632 discriminative power. However, combined results over all the optimal classifier configurations showed no strong  
633 evidence supporting or rejecting the selection of any specific curve feature function, either for any specific carrier  
634 signal or for hybrid signal configurations.

635 For optimized classifier structures, misclassification analysis has shown that in almost all cases the results  
636 were compact and consistent. Misclassified morphological shape types were rarely farther than one class away from  
637 the correct one, while completely invalid classifications, including round instead of stellate shape types or vice versa,  
638 were zero or near-zero for optimized classifiers using any of the datasets. In the case of clinical diagnosis  
639 classification, the false-positive and false-negative were, in general, equally distributed within the overall  
640 classification errors. For optimized configurations on final diagnosis classification, the actual error rates were similar  
641 in volume and content to the error rates involved in the statistical covariance analysis between mass shape types and  
642 clinical diagnosis in the original mammogram database [12]. Thus, the descriptive power of these morphological  
643 features was confirmed to be directly related to the inherent shape and morphology of the mass' boundaries.

644

## 645 **5.2 Classifier Architectures Evaluation**

646 Classification results for both targets, i.e., morphological shape type and clinical diagnosis, proved the value of non-  
647 linear architectures versus linear models. Initial studies and statistical analysis have shown that the class distributions  
648 in both cases are too complex to be approximated by linear discriminate functions. With the exception of the case of  
649 mass shape type property versus clinical diagnosis, where evidently there is high correlation between them, all the  
650 other qualitative properties and morphological characteristics of the mass seem quite independent or at least non-  
651 linearly dependent with each other.

652 The k-NN classifier produced at some extent lower overall success rates, even though the size k of the  
653 neighborhood was optimized during training. Between linear classifiers, the LSMD classifier resulted in significant  
654 increase in overall success rate against both the k-NN and the LDA classifiers, and in some cases similar to the one  
655 produced by various neural network architectures.

656 For NN, comparison between RBF and MLP architectures presented evidence that RBF networks result in  
657 either the same or lower overall success rate. Differences in success rates ranged between 0% and 10%, favoring the  
658 choice of MLP architectures over RBF, when optimized topologies were employed for both classifiers. For SVM, the  
659 C-SVC classifier architecture has proved to be the best choice over all the other linear and non-linear classifiers  
660 when clinical diagnosis is considered.

661 In almost all cases, the application of NN with optimized topology versus a linear classifier, like LDA or  
662 LSMD, resulted in at least the same or better overall results, over the same datasets. The overall average difference  
663 in success rate between linear classifiers and NN over various datasets ranged between 0% and 6,4% for the shape  
664 type classification case, although LDA and mostly LSMD produced results similar to neural network models when  
665 used with DWT-only feature sets for various wavelet function selections. Similar conclusions were drawn for the  
666 clinical diagnosis classification case, where in some cases the overall success rates of some neural classifier were  
667 matched by the LSMD linear classifier. However, for both classification targets, the best accuracies were achieved  
668 by optimized non-linear classifiers, specifically 72,3% for morphological shape type by NN and 91,54% for clinical  
669 diagnosis by SVM classifiers, all evaluated using leave-one-out testing method. SVM classifier achieved the overall  
670 best success rate for the diagnosis target, marginally higher (+2,3%) from the best NN classifier.

671 It should be noted that, although receiver operational characteristic (ROC) analysis of classifiers is common  
672 in medical applications, it was used only as a supplemental performance evaluation method in this study. The main  
673 property of ROC is their qualitative presentation of a classifier's sensitivity and specificity levels for various decision  
674 thresholds [60]. However, their contribution becomes obscure and cumbersome in cases where clear quantitative and  
675 comparative results are needed, when more than one classifier are employed comparatively [61, 62] or when there  
676 are more than two output classes [63-65] or when the generalization capabilities of classifiers is the main concern  
677 [66-67]. Furthermore, their transformation to subjective measurements for comparison purposes is usually limited to  
678 calculations involving the area under curve (AUC) or  $A_z$  index values [61, 66, 67]. In terms of a ROC plot, for a  
679 specific misclassification cost ratio between the classes (e.g., equal), the best success rate always coincides with the  
680 point on the ROC curve that exhibits the minimum distance from the ideal classifier response, which is the point  
681 (0,1) in the ROC space.

682            Since this study is focused on investigating the discriminative power of morphological features and their  
683 relative efficiency when used with various classifier architectures, the optimal performance was measured as the  
684 single value of their best accuracy with equal class misclassification costs, namely the success rate or hit ratio [24],  
685 which is calculated directly from the corresponding confusion matrix, instead of a ROC curve. Furthermore, the  
686 success rate, calculated by means of  $k$ -fold cross-validation methods such as the leave-one-out method employed in  
687 this study, is the most commonly accepted practice for robust evaluation of classifier performance in the discipline of  
688 Pattern Recognition [24].

689

## 690 **6. Conclusions**

691 Morphological shape type of masses in mammographic screening has been established as one of the leading factors  
692 for the conclusive clinical diagnosis. Radial distance measurements have been used as the basis of extensive signal  
693 analysis, including DFT spectrum analysis and DWT decomposition for multi-resolution analysis. Seven  
694 uniresolution measurements have been used as feature functions for the compact description of the properties and  
695 attributes of each of the base signals. These feature functions have been applied in all forms of base signals,  
696 producing a large set of descriptive measurements concerning the characteristics of the original shape signal.

697            Using various feature sub-sets with optimized linear and neural classifier models, it has been established  
698 that the DFT spectrum and the DWT components capture discriminative information of significant importance, in  
699 relation to both morphological shape type and clinical diagnosis. Furthermore, NN and SVM classifiers  
700 outperformed linear ones in all cases, while least-squares based classifiers exhibited the highest accuracies over the  
701 linear architectures.

702            The use of spectral properties and wavelet components of the original radial distance signal, in conjunction  
703 with optimized NN and SVM classifiers, produced significant increase in the overall success rate of the diagnostic  
704 system.

705

706

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**Multi-scaled morphological features for the characterization of  
mammographic masses using statistical classification schemes**

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739 **Table 1** – Complete list and quantification details for all the qualitative properties, used by the expert physician when annotating  
 740 the images in the mammogram database

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<b>Qualitative feature</b>	<b>Range</b>
Patient's age	Years (integer)
Mass existence	Yes / no
Microcalcifications existence	Yes / no
Fat percentage	0%,...,100%
Boundary sharpness	0%,...,100%
Mass density	L (hypo) / M (iso) / H (hyper)
Mass homogeneity	1,...,10
Mass shape type	1 (round) / 2 (lobulated) / 3 (micro-lobulated) / 4 (stellate)
Verified diagnosis	B (benign) / M (malignant)

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745 **Table 2** – Distribution of the four morphological shape types against diagnosis in the image database. Percentages are calculated  
 746 per column (shape type).

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	Round	Lobulated	Micro- lobulated	Stellate
Benign	<b>25</b> 83%	<b>18</b> 95%	<b>2</b> 5%	<b>1</b> 3%
Malignant	<b>5</b> 17%	<b>1</b> 5%	<b>41</b> 95%	<b>37</b> 97%

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749 **Table 3** – Correlation fractal dimension ( $\underline{D}_2$ ) value for qualitative and combined morphological feature datasets, for all 217 and  
750 for top-20 MANOVA selected morphological features.

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<b><math>D_2</math> value</b>	<b>complete set</b>	<b>MANOVA top-20</b>
Qualitative properties set	3,18	–
Combined morphological features set	<b>4,11</b>	<b>2,71</b>

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753 **Table 4** – Highest success rates of all classifiers against shape type prediction using the best 20 features, as selected by  
754 MANOVA from the complete set of 217 morphological features and for classification against all four shape type classes (\* = not  
755 used).

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<b>Classifier model</b>	<b>Success rate (%)</b>
LDA	66,90%
K-NN	67,70%
LSMD	<b>72,30%</b>
MLP	<b>72,30%</b>
RBF	67,70%
C-SVC/RBF*	–

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760 **Table 5** – Highest success rates of all classifiers against diagnosis prediction using the best 20 features, as selected by MANOVA  
761 from the complete set of 217 morphological features.

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<b>Classifier model</b>	<b>Success rate (%)</b>
LDA	89,23%
k-NN	85,38%
LSMD	88,46%
MLP	89,23%
RBF	83,85%
<b>C-SVC/RBF</b>	<b>91,54%</b>

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768 **Table 6** – Overall ranking of the seven curve feature functions, in order of preference, for the shape type and diagnosis  
 769 classification targets.

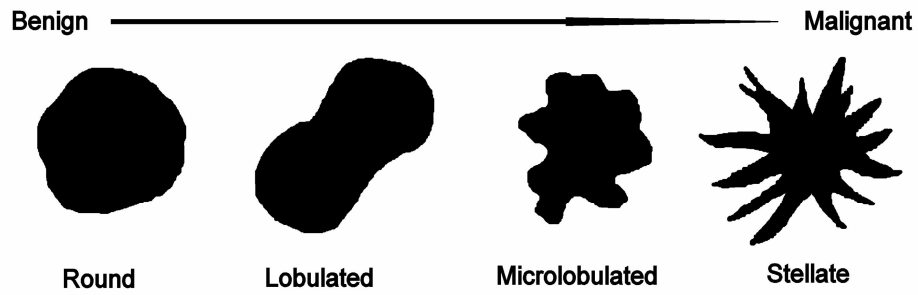
770

<b>Curve feature function ranking</b>	
<b>Shape type</b>	<b>Diagnosis</b>
ZC count	Mean
Mean	Area ratio
Area ratio	Roughness
Entropy	Stdev
Roughness	Entropy
Stdev	Circularity
Circularity	ZC count

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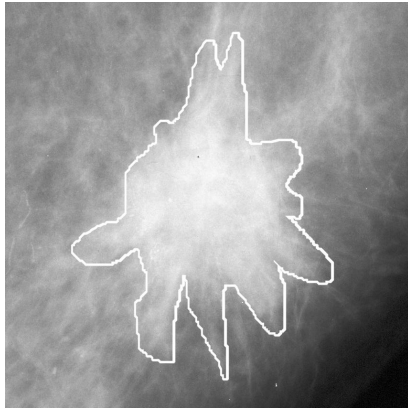
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776 **Figure 1** – Morphological shape type representations of mammographic tumors.

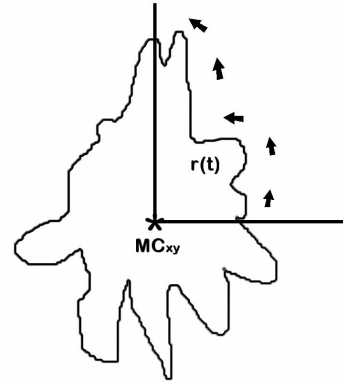
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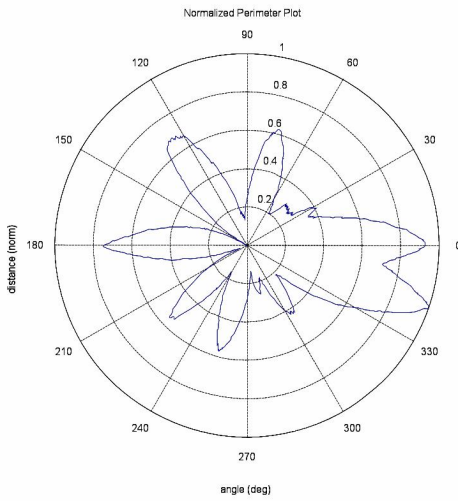
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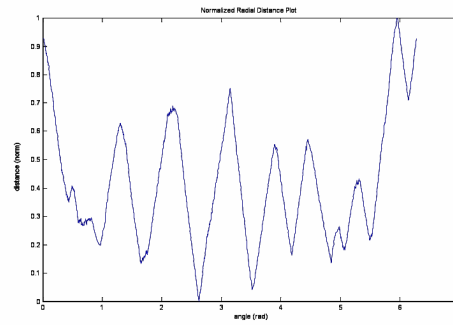
(a)



(b)



(c)



(d)

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**Figure 2** – Radial distance signal extraction: (a) mass boundaries segmentation, (b) mass perimeter radial sampling, (c)

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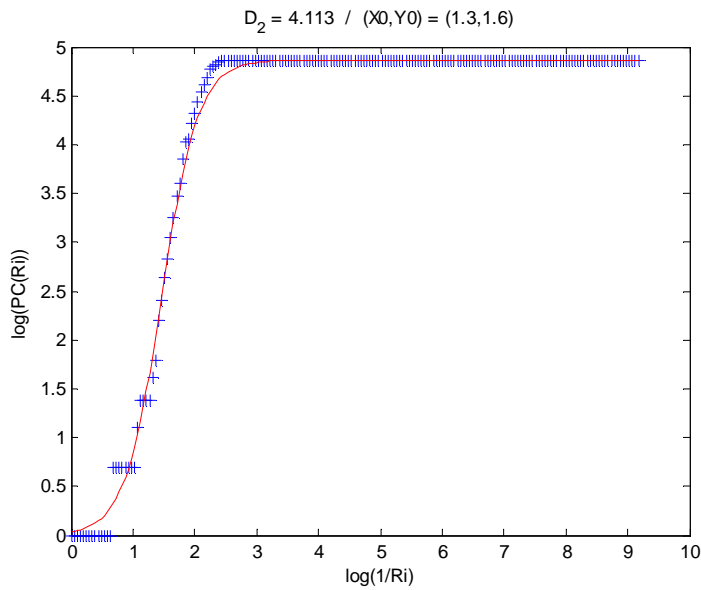
normalized mass perimeter, (d) normalized radial distance signal.

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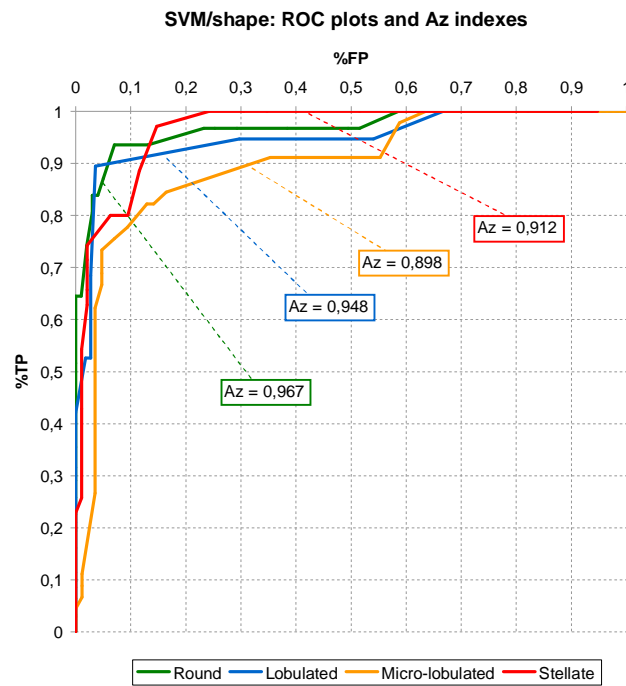
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789 **Figure 3** –  $\underline{PC(r)}$  plot and sigmoid fitness function for the combined dataset of all 217 morphological features. For  $\underline{X}$ -axis,  $(1/r)$   
 790 was used instead of  $(r)$  for correct (+) sign for the slope value. The  $\underline{Y}$ -axis represents the log of the pair-count value for parameter  
 791  $(r)$ .

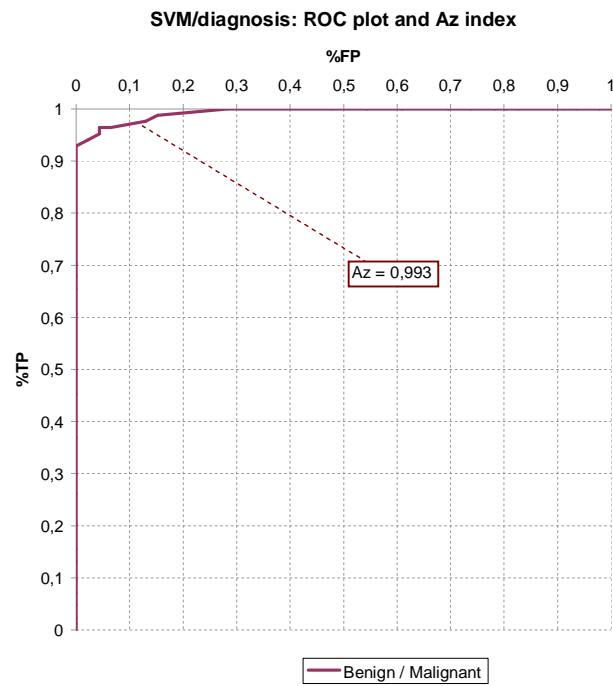


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794 **Figure 4** – ROC plot for all four shape type classifications with SVM classifiers, using each one of the shape types against the

795 other three, as well as the corresponding AUC or  $A_z$  index value for each classification setup.



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798 **Figure 5** – ROC plot for the diagnosis classification using SVM classifier and the corresponding AUC or  $A_z$  index value.

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